|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| [AAMC Home](https://www.aamc.org/start.htm) | https://surveys.aamc.org/AppData/2088667083/Group%20Media/header-int-blue.gif |  | https://surveys.aamc.org/AppData/2088667083/Group%20Media/headerspace.gif | Tomorrow's Doctors | Tomorrow's Cures |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **LCME Part I-A Overview 2017-2018**  Marshall University Joan C. Edwards School of Medicine  **Welcome to the LCME Part I-A Overview Survey!**  Thank you for participating in the LCME Part I-A Overview of Organizational and Financial Characteristics Survey. Information collected from the Survey may be used:   * by the LCME in conjunction with its annual review of medical school programs and financing,      * to provide benchmarking reports that assist medical schools in better understanding and improving their financial status and operations, and      * to provide reliable and valid information for advocacy and research on the financial status of U.S. medical schools.   There are 39 questions that the Overview Survey classifies as Unrestricted and, in accordance with the AAMC's data release policy, responses to these questions may be published and released with institutional identification. In addition, there are two questions that the Overview Survey classifies as Confidential. The responses to these questions may not be published or released with institutional identification without permission. The first confidential question asks for the hours spent on the AFQ and Overview Survey. The second confidential question asks for thoughts that you might have about these surveys. All responses will be stored on a secure server at the AAMC to which a limited number of AAMC staff will have access.  You may use the "Navigation" button at the bottom of each page to move between different sections of the survey, but your data will not be saved until you click on the "Save and Go Back," "Save and Continue," or "Save and Exit" buttons on the bottom of the page. DO NOT use the "Back" or "Forward" buttons on your browser; your entries will not be saved. Please note that you will have the opportunity to print your responses after you submit your survey.  The survey collects personal information (name, email, and telephone number) in case we need to contact you. This information will be kept confidential and institutional-level policy responses to the survey will never be released with your personally identifying information.  If you have questions or need assistance completing the Survey, contact Andy Nees at 202-903-0804, Joo Li Lee  at 202-828-0589, or via email at [afq@aamc.org](mailto:afq@aamc.org).  By continuing, you acknowledge that you have read the above and agree to participate.  Marshall University Joan C. Edwards School of Medicine  **Contact Information**  Contact Information   |  |  | | --- | --- | | \*First Name | **Matt** | | \*Last Name | **Straub** | | \*Telephone | **304-691-1284** | | \*Email | **straub3@marshall.edu** |     Marshall University Joan C. Edwards School of Medicine  **A - Relationship of Medical School to Parent**  \*1.**Which best describes your medical school's financial relationship to your parent?** Note: A parent is broadly defined to mean any organizational structure above the level of the medical school's dean, including academic health centers and universities.  Freestanding Institution (no parent; has own governance and budget and financing) Financially Autonomous (budget and financing are not subject to parent authorization) Financially Integrated with Parent (budget and financing are subject to parent authorization) Other   **Your Response: Financially Integrated with Parent (budget and financing are subject to parent authorization)**    \*2.**Are medical school revenues and expenditures recorded in the parent's accounting system?**  Yes No NA  **Your Response: Yes**    \*3.**Does the medical school use the parent's payroll system?** Yes No NA  **Your Response: Yes**    Marshall University Joan C. Edwards School of Medicine  **B - Tuition and Fees**  \*4.**Are scholarships and financial aid subtracted from the tuition and fees revenues reported on Line 23 of the AFQ (Total tuition and Fees Revenues)?  Note: Scholarships and financial aid should not be subtracted from tuition revenues reported on the AFQ.**  Yes No NA  **Your Response: No**    4a.**If yes, indicate the amount by which tuition and fees revenues are discounted on the AFQ.**   |  |  | | --- | --- | | Amount $ |  |     Marshall University Joan C. Edwards School of Medicine  **C - Grants and Contracts**  \*5.**Who is responsible for negotiating the Facilities & Administrative (F&A) cost rate**  **that applies to federal grants and contracts associated with the medical school?**  Parent Medical School Other   **Your Response: Separate 501 c.3 Research Corporation**    6.**What is your MOST CURRENT federal negotiated or provisional F&A rate for on-campus** **organized research?**   |  |  |  | | --- | --- | --- | | Federal negotiated or provisional F&A rate % | **47** | **%** |     7.**The F&A rate reported in question 6 is a**  Provisional Rate Negotiated/Final Rate  **Your Response: Negotiated/Final Rate**    8.**The F&A rate reported in question 6 is effective** (If your rate is provisional, enter FY2019 fiscal year end date.)   |  |  | | --- | --- | | through/end date | **6/30/2018** |     9.**What is the basis for your F&A rate?**  Modified total direct costs Total direct costs Salaries and wages Other   **Your Response: Modified total direct costs**    10.**Facilities and Administrative Costs from Sponsored Programs**  **How are the facilities and administrative costs from sponsored programs (reported on Line 51 of the AFQ) distributed?**   |  |  |  | | --- | --- | --- | | % retained by medical school | **50** | **%** | | % retained by health sciences administration | **0** | **%** | | % retained by parent/central administration | **25** | **%** | | % retained by state | **0** | **%** | | % retained by other (specify below) | **25** | **%** | | % Total | **100** | **%** |     **Explanation of % retained by other**   |  | | --- | | **The research corporation retains 25%** |     Marshall University Joan C. Edwards School of Medicine  **D - Government and Parent Support**  \*11.**Does the amount reported on Line 71 of the AFQ (State and Parent Funds Expended for General Operations) include state funds?**  Yes No Don't Know  **Your Response: Yes**    12.**If the amount reported on Line 71 of the AFQ includes state funds, provide an estimate of state funds included:**   |  |  | | --- | --- | | $ | **15278076** |     \*13.**If the amount reported on Line 71 of the AFQ includes state funds, are these funds:**  Appropriated directly to the medical school by the State legislature Appropriated to the parent; parent allocated funds to medical school Other (describe below) Not applicable - Medical school receives no state funds for general operations  **Your Response: Appropriated directly to the medical school by the State legislature**  **Explanation for Other**   |  | | --- | |  |     \*14.**Who determines the allocation of state and/or parent funds for general operations to medical school departments?** *(i.e., the amount reported on Line 71 of the AFQ)*  Dean/dean's office Parent administration State legislature Other (specify below)  Not applicable - medical school receives no state/parent funds for general operations  **Your Response: Dean/dean's office**  **Specify who determines the allocation of state and/or parent funds to medical school departments**   |  | | --- | |  |     **Note: Question 15 is optional. If you cannot provide an estimate, skip to Question 16. 15. Estimate the % of special state appropriations (Line 73 of the AFQ) designated for:**   |  |  |  | | --- | --- | --- | | Undergraduate medical education | **0** | **%** | | Graduate medical education | **0** | **%** | | Other educational activities | **0** | **%** | | Research activities | **0** | **%** | | Clinical activities | **0** | **%** | | Equipment | **0** | **%** | | Facilities | **0** | **%** | | Other uses (describe below) | **100** | **%** | | Total special state appropriations | **100** | **%** |     **Explanation of special state appropriations for other uses**   |  | | --- | | **Professional Liability Insurance (54%), Rural Health Education and Outreach (46%).** |     Marshall University Joan C. Edwards School of Medicine  **E - Hospital Affiliations**  \*16.**Hospital support**  **List the name of each hospital that provided support to the medical school and the amount that each hospital contributed to the total  amount reported on line 146 of the AFQ.**     |  |  |  | | --- | --- | --- | |  | **Hospital Name** | **Dollars this Report Period** | | 1 | **Cabell Huntington Hospital** | **62858840** | | 2 | **St. Marys Medical Center** | **4085867** | | 3 |  |  | | 4 |  |  | | 5 |  |  | | 6 |  |  | | 7 |  |  | | 8 |  |  | | 9 |  |  | | 10 |  |  | | 11 |  |  | | 12 |  |  | | 13 |  |  | | 14 |  |  | | 15 |  |  | | 16 |  |  |     Marshall University Joan C. Edwards School of Medicine  **F - Gifts and Endowments**  \*17.**Market value of endowments by type (Note: Market Value should be as of fiscal year end.)**  Enter either the breakdowns or the total, but not both.   |  |  | | --- | --- | | Market value of quasi-endowments | **5817565** | | Market value of term endowments |  | | Market value of true/pure endowments | **39866221** | | Market value of other endowments |  | | Total Endowment Market Value (Only use if breakdowns are not available.) |  | | Total Endowment | **45683786** |     18.**Contributions to endowment (principal) this report period**   |  |  | | --- | --- | | Cash contributions to endowment principal this report period |  | | Irrevocable pledges to endowment principal made this report period |  | | Total new contributions to endowment funds | **0** |     19.**For the Unrestricted Gift Revenue reported on Line 162 of the AFQ, indicate: (NOTE: Pledges should not be reported as revenue on the AFQ.)**   |  |  | | --- | --- | | Amount of irrevocable pledges incorrectly included on Line 162 |  | | Amount of non-irrevocable pledges incorrectly included on Line 162 |  |     20.**Appraised value of gifts of real or personal property received this report period (e.g., real estate, equipment, artifacts, artwork, vehicles):**   |  |  | | --- | --- | | $ |  |     Marshall University Joan C. Edwards School of Medicine  **G - Faculty Practice Plan**  **21. Which best describes the primary basis of authority for your faculty practice plan?**  Medical-school based Hospital-based Health-system based Other (describe below) No Practice Plan  **Your Response: Medical-school based**  **Describe other organizational location**   |  | | --- | |  |     \*22. **Which best describes the structure of your faculty practice plan?** Definitions are available under the heading "Faculty Practice Plan Structure" at [https://www.aamc.org/data/ocd/field\_definitions/](http://www.aamc.org/data/ocd/field_definitions)  Departmental Practice Model Federated Practice Plan Multi-specialty Group Practice Model Other (describe below) No Practice Plan  **Your Response: Federated Practice Plan**    **Describe other faculty practice plan type**   |  | | --- | |  |   23 . **Which best describes the legal structure of your faculty practice plan?**  Multiple professional corporations Owned by the university or school of medicine For-profit corporation Separate not-for-profit corporation One professional corporation Other (describe below) No Practice Plan  **Your Response: Separate not-for-profit corporation**    **Describe other legal structure**   |  | | --- | |  |       24.**Which best describes the basis for practice plan support to the medical school reported on Line 232?**  "Front-end"support based on % of gross collections by practice plan "Front-end" support based on% of gross collections less admin expense and physician compensation "Back-end" support based on% of practice plan residual net income No assessment Other (describe below) No Practice Plan  **Your Response: "Front-end" support based on % of gross collections by practice plan**    **Describe other basis for medical school support**   |  | | --- | |  |       Marshall University Joan C. Edwards School of Medicine  **H - Capital Investment**  25.**Expenditures this report period associated with plant operations and maintenance**     |  |  | | --- | --- | | A) Total expenditures for plant operations | **5492308** | | B) Expenditures for plant operations paid by medical school funds | **2017225** |     26.**Funding this report period for building construction or renovation**  (report only that portion related to space owned/occupied by the medical school)     |  |  | | --- | --- | | Capital funds allocated this report period from government appropriations (federal, state, local) |  | | Capital funds received this report period from private donations |  | | Operating funds transferred to capital accounts (included in total expenditures on the AFQ) | **3005226** | | Other (describe below) |  | | Total | **3005226** |     **Describe other funds received for construction or renovation**   |  | | --- | |  |     Marshall University Joan C. Edwards School of Medicine  **H - Capital Investment**  27.**Is depreciation incorrectly reported as an operating expense on the AFQ?** (NOTE: Depreciation should not be included as an operating expense on the AFQ.)  Yes No Don't know  **Your Response: No**    27a.**Indicate the dollar amount of depreciation expense reported on the AFQ**   |  |  | | --- | --- | | Amount $ |  |     27b.**Indicate below which lines on the AFQ include depreciation expenses**   |  | | --- | |  |     The following questions relate to new buildings and additions to existing buildings under construction this reporting period that include space to be utilized for medical school activities.  Include capital projects managed by the medical school and capital projects managed by the parent on behalf of the medical school.  Do not include capital projects related to affiliated institutions (e.g., hospitals).  Do not include capital projects for renovation of existing facilities.  28a.  **Number of new facilities or additions to existing facilities under construction this report period** (If your medical school had no new facilities under construction this reporting period, enter zero and skip to question 29.)   |  |  | | --- | --- | | # | **0** |     28b.**When projects reported in 28a are completed, how many new gross square feet will be available for medical school activities?**   |  |  | | --- | --- | | # |  |     28c.**Proposed functional distribution of new space reported in 28b**     |  |  | | --- | --- | | % education | **0** | | % research | **0** | | % patient care | **0** | | % other uses | **0** | | % Total | **0** |     28d.**Total estimated completion costs of new medical school space reported in 28b.** (For new facilities not dedicated to medical school use, allocate costs based on the medical school’s share of total gross square feet.)   |  |  | | --- | --- | | $ |  |     28e.**Distribution of project costs reported in 28d by fund source**   |  |  | | --- | --- | | % financed through debt or bond issue | **0** | | % state or local government funds | **0** | | % F&A/indirect costs recovered from sponsored programs | **0** | | % federal funds | **0** | | % gifts/private donations or endowment funds | **0** | | % hospital funds | **0** | | % practice plan funds | **0** | | % other fund sources (describe other sources below) | **0** | | % Total | **0** |     28f.**Describe other fund sources for facilities under construction this report period**   |  | | --- | |  |     29.**Net assignable square feet (excluding leased space) added to the medical school’s room inventory this report period** (Note: Enter new square feet added, not the net change during the year)   |  |  | | --- | --- | | # |  |     30.**Functional distribution of new space reported in question 29:**   |  |  | | --- | --- | | % education | **0** | | % research | **0** | | % patient care | **0** | | % other uses | **0** | | % Total | **0** |     Marshall University Joan C. Edwards School of Medicine  **I - Debt/Debt Service**  \*31.**Debt service (interest and principal) paid this fiscal year from medical school operating funds and included in expenditures reported on the AFQ.**  Enter zero if debt service payments are not included in expenditures reported on the AFQ.   |  |  | | --- | --- | | Debt service $ | **1047491** |     \*32.**Outstanding debt for which the medical school is currently responsible:**  (Exclude debt for which parent or state are responsible. Include balance of loans, mortgages, third-party settlements, and notes payable.)  Enter zero if your school has no outstanding debt. (NOTE: The balance of outstanding debt is not the same thing as debt service in Question 31.)   |  |  | | --- | --- | | Current outstanding debt $ | **11266877** |     Marshall University Joan C. Edwards School of Medicine  **J - Medical School Financial Reporting**  \*33.**Indicate the reporting period for revenues and expenditures reported on the AFQ.**   |  |  | | --- | --- | | Fiscal Year begin date | **7/1/2017** | | Fiscal Year end date | **6/30/2018** |     \*34.**If the medical school has financial statements separate from the parent, are they:** (NOTE: If the medical school does not have separate financial statements, select "No Separate, GAAP-compliant financial statements exist for the medical school.")  Audited and prepared in accordance with the Governmental Accounting Standards Board(GASB) Audited and prepared in accordance with the Financial Accounting Standards Board (FASB) Not audited, but compliant with Generally Accepted Accounting Principles (GAAP) No separate, GAAP-compliant financial statements exist for the medical school  **Your Response: No separate, GAAP-compliant financial statements exist for the medical school**    \*35.**Does the medical school have its own bond rating separate from the parent?**  Yes No  **Your Response: No**    \*36.**Most recent bond ratings for the medical school (if the medical school has its own bond ratings separate from the parent):**  Standard & Poor's   |  |  |  |  | | --- | --- | --- | --- | | AAA | A+ | BBB+ | NA - Medical school does not have an S&P bond rating | | AA+ | A | BBB | NI - Bond rating not known | | AA | A- | BBB- | Other S&P bond rating (explain below) | | AA- |  |  |  |   **Your Response: NA - Medical school does not have an S&P bond rating**  **Describe Other S&P Rating Below**   |  | | --- | |  |     **Moody's Investors Service**   |  |  |  |  | | --- | --- | --- | --- | | Aaa | A1 | Baa1 | NA - Medical school does not have a Moody's bond rating | | Aa1 | A2 | Baa2 | NI - Bond rating not known | | Aa2 | A3 | Baa3 | Other Moody's bond rating (explain below) | | Aa3 |  |  |  |   **Your Response: NA - Medical school does not have a Moody's bond rating**  **Describe Other Moody's Rating Below**   |  | | --- | |  |     \*37.**Most recent bond ratings for the parent:**  **Standard & Poor's**   |  |  |  |  | | --- | --- | --- | --- | | AAA | A+ | BBB | None - Parent does not have an S&P bond rating | | AA+ | A | BBB- | Other S&P bond rating (explain below) | | AA | A- | NI - Bond rating not known | NA - Medical school does not have a paren**t** | | AA- | BBB+ |  |  |   **Your Response: None - Parent does not have an S&P bond rating**  **Describe Other S&P Rating Below**   |  | | --- | |  |     **Moody's Investors Service**   |  |  |  |  | | --- | --- | --- | --- | | Aaa | A1 | Baa2 | None - Parent does not have a Moody's bond rating | | Aa1 | A2 | Baa3 | Other Moody's bond rating (explain below) | | Aa2 | A3 | NI - Bond rating not known | NA - Medical school does not have a parent | | Aa3 | Baa1 |  |  |   **Your Response: A1**  **Describe Other Moody's Rating Below**   |  | | --- | |  |     Marshall University Joan C. Edwards School of Medicine  **K - General Comments**  38.**Describe below any significant organizational changes during the past year that affect your financial report**   |  | | --- | | **The retail pharmacy added two years ago is still in a growth phase and will start to have a material impact on the report. We report these pharmacy operations separately throughout the year and consolidate them in this report through Other Revenues and Other Expenses.** |     39.**Comment on any unusual characteristics of your institution that affect your financial report**   |  | | --- | | **Our submission of the LCME Part 1A Annual Financial Questionnaire reflects the consolidation of financial information from 5 separate legal entities (medical school, practice plan, research corporation, foundation and VA). The complexities of consolidating this many entities creates the potential of duplication of revenue and/or expenses. While each separate entity conducts annual financial audits, there is no similar mechanism for this consolidated report that can validate the submission in total.** |     Marshall University Joan C. Edwards School of Medicine  **L - Survey Feedback**  40.**Please estimate how many hours it took your medical school to complete both the LCME Part I-A Annual Financial Questionnaire and the LCME Part I-A Overview Survey. Enter 8.00 for eight hours, 7.50 for seven and a half hours, etc. Please account for the time of all of your colleagues who contributed to both surveys.**   |  |  | | --- | --- | | Hours | **55** |     41.**Please share any thoughts that you have about the LCME Part I-A Annual Financial Questionnaire and the LCME Part I-A Overview Survey.**   |  | | --- | |  |     Marshall University Joan C. Edwards School of Medicine  **You have reached the end of the survey. Are your ready to submit your responses?**  Yes  **Your Response: Yes** |

|  |
| --- |
| [Contact Us](http://www.aamc.org/comments.htm)[Terms and Conditions](http://www.aamc.org/copyright.htm)    [Privacy Statement](http://www.aamc.org/privacy.htm) |